

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY
PATENT APPLICATION
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 040-303

First Inventor Shih-Ming Hwang

Title VOICE RECORDER WITH SOUND REMINDER

Express Mail Label No. EU573196443US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 17]
(preferred arrangement set forth below)
- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table,
or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 5]
5. Oath or Declaration [Total Sheets 2]
a. ☒ Newly executed (original or copy)
b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s)
name in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).
6. ☒ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
a. ☐ Computer Readable Form (CRF)
b. Specification Sequence Listing on:
i. ☐ CD-ROM or CD-R (2 copies); or
ii. ☐ Paper
c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☒ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure ☐ Copies of IDS Citations
13. ☐ Statement (IDS)/PTO-1449
14. ☒ Preliminary Amendment
15. ☐ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
16. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
17. ☐ Nonpublication Request under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35
or its equivalent.
17. ☒ Other: SMALL ENTITY STATUS, CLAIM,

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:

Prior application information:

Examiner


Art Unit:

For CONTINUATION OF DIVISIONAL APPS only; The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☒ Customer Number: 35,870 OR ☐ Correspondence address below

Name	Apex Juris, pllc		
Address	13194 Edgewater Lane Northeast		
City	Seattle	State	Washington
Country	United States of America	Zip Code	98125
Telephone	206-664-0314	Fax	206-664-0329

Name (Print/Type)	Tracy M Heims	Registration No. (Attorney/Agent)	53,010
Signature		Date	02/05/2004

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form, and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



16569

020504

PTO/SB/17 (10-03)

Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2004 <i>Effective 10/01/2003. Patent fees are subject to annual revision.</i>		Complete if Known		
		Application Number		
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Filing Date	02/05/2004	
		First Named Inventor	Shih-Ming Hwang	
		Examiner Name		
		Art Unit		
TOTAL AMOUNT OF PAYMENT		(\$) 385.00	Attorney Docket No.	040-303

METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)																															
<input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None		3. ADDITIONAL FEES																															
<input checked="" type="checkbox"/> Deposit Account: Deposit Account Number: 50,2069 Deposit Account Name: Apex Juris, pllc		Large Entity Small Entity																															
<input type="checkbox"/> The Director is authorized to: (check all that apply) <input type="checkbox"/> Charge fee(s) indicated below <input checked="" type="checkbox"/> Credit any overpayments <input checked="" type="checkbox"/> Charge any additional fee(s) or any underpayment of fee(s) <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.																																	
FEE CALCULATION																																	
1. BASIC FILING FEE																																	
<table border="1"><thead><tr><th>Large Entity Fee Code (\$)</th><th>Small Entity Fee Code (\$)</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>1001 770</td><td>2001 385</td><td>Utility filing fee</td><td>385</td></tr><tr><td>1002 340</td><td>2002 170</td><td>Design filing fee</td><td></td></tr><tr><td>1003 530</td><td>2003 265</td><td>Plant filing fee</td><td></td></tr><tr><td>1004 770</td><td>2004 385</td><td>Reissue filing fee</td><td></td></tr><tr><td>1005 160</td><td>2005 80</td><td>Provisional filing fee</td><td></td></tr><tr><td colspan="3">SUBTOTAL (1)</td><td>(\$) 385.00</td></tr></tbody></table>		Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	1001 770	2001 385	Utility filing fee	385	1002 340	2002 170	Design filing fee		1003 530	2003 265	Plant filing fee		1004 770	2004 385	Reissue filing fee		1005 160	2005 80	Provisional filing fee		SUBTOTAL (1)			(\$) 385.00				
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid																														
1001 770	2001 385	Utility filing fee	385																														
1002 340	2002 170	Design filing fee																															
1003 530	2003 265	Plant filing fee																															
1004 770	2004 385	Reissue filing fee																															
1005 160	2005 80	Provisional filing fee																															
SUBTOTAL (1)			(\$) 385.00																														
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE																																	
<table border="1"><thead><tr><th>Total Claims</th><th>Extra Claims</th><th>Fee from below</th><th>Fee Paid</th></tr></thead><tbody><tr><td>13</td><td>-20** = 0</td><td>X</td><td>0.00</td></tr><tr><td>2</td><td>-3** = 0</td><td>X</td><td>0.00</td></tr><tr><td></td><td></td><td></td><td>0.00</td></tr></tbody></table>		Total Claims	Extra Claims	Fee from below	Fee Paid	13	-20** = 0	X	0.00	2	-3** = 0	X	0.00				0.00																
Total Claims	Extra Claims	Fee from below	Fee Paid																														
13	-20** = 0	X	0.00																														
2	-3** = 0	X	0.00																														
			0.00																														
<table border="1"><thead><tr><th>Large Entity Fee Code (\$)</th><th>Small Entity Fee Code (\$)</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>1202 18</td><td>2202 9</td><td>Claims in excess of 20</td><td></td></tr><tr><td>1201 86</td><td>2201 43</td><td>Independent claims in excess of 3</td><td></td></tr><tr><td>1203 290</td><td>2203 145</td><td>Multiple dependent claim, if not paid</td><td></td></tr><tr><td>1204 86</td><td>2204 43</td><td>** Reissue independent claims over original patent</td><td></td></tr><tr><td>1205 18</td><td>2205 9</td><td>** Reissue claims in excess of 20 and over original patent</td><td></td></tr><tr><td colspan="3">SUBTOTAL (2)</td><td>(\$) 0.00</td></tr></tbody></table>		Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	1202 18	2202 9	Claims in excess of 20		1201 86	2201 43	Independent claims in excess of 3		1203 290	2203 145	Multiple dependent claim, if not paid		1204 86	2204 43	** Reissue independent claims over original patent		1205 18	2205 9	** Reissue claims in excess of 20 and over original patent		SUBTOTAL (2)			(\$) 0.00				
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid																														
1202 18	2202 9	Claims in excess of 20																															
1201 86	2201 43	Independent claims in excess of 3																															
1203 290	2203 145	Multiple dependent claim, if not paid																															
1204 86	2204 43	** Reissue independent claims over original patent																															
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent																															
SUBTOTAL (2)			(\$) 0.00																														
**or number previously paid, if greater; For Reissues, see above																																	
		Other fee (specify)																															
		*Reduced by Basic Filing Fee Paid																															
		SUBTOTAL (3) (\$) 0.00																															

SUBMITTED BY		(Complete if applicable)	
Name (Print/Type)	Tracy M Hejms	Registration No. (Attorney/Agent)	53, 010
Signature		Telephone	206-664-0314
		Date	02/05/2004

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

NEW PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Hwang et al.

Application No.: New U.S. Patent Application

Filed: February 5th, 2004

Attorney Dkt. No.: 040-303

For: VOICE RECORDER WITH SOUND REMINDER

NEW PATENT APPLICATION

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

February 5th, 2004

Commissioner:

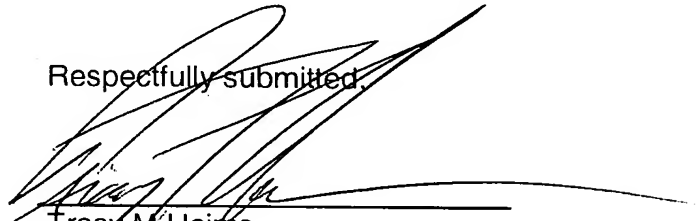
Applicant respectfully requests that the filing date of February 5th, 2004 be granted to this filing and herein provides the appropriate following documentation:

1. Business check in the amount of \$385.00
2. Self Address, stamped return post card setting forth submission
3. Certificates of mailings for all documents (page)
4. PTO/SB/05, Utility Patent Application Transmittal form (1 page)
5. PTO/SB/17, Fee transmittal form in duplicate (2 pages)
6. Small Entity Declaration (3 pages)
7. Application Data Sheet (4 pages)
8. Specification and claims (17 pages)
9. Formal drawings (5 pages)

10. Declaration and Power of Attorney for Patent Application (2 pages)

Please charge any fee deficiency or credit any overpayment with respect to this paper and or this application to Apex Juris Deposit Account No. 502069.

Respectfully submitted,



Tracy M Heims
Registration No. 53,010

APEX JURIS, PLLC
13194 Edgewater Lane Northeast
Seattle, Washington 98125
Email: tracy@apexjuris.com
Tel: 206-664-0314
Fax: 206-664-0329

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8
FOR: NEW PATENT APPLICATION.
Attorney Docket Number 040-303


THE FOLLOWING DOCUMENTS ARE INCLUDED HEREIN:

1. Business check in the amount of \$385.00
2. Self Address, stamped return post card setting forth submission
3. Certificates of mailings for all documents
4. Letter to the Commissioner with Filing
5. Utility Patent Application Transmittal form (PTO/SB/05)
6. Fee transmittal form in duplicate (PTO/SB/17)
7. Small Entity Declaration (2 pages)
8. Application Data Sheet (4 pages)
9. Specification and claims (17 pages)
10. Formal drawings (5 pages)
11. Declaration and Power of Attorney for Patent Application (2 pages)

I hereby certify that this correspondence is being deposited with the United States Postal Service, Express Mail, label number EU573196443US, with sufficient postage, in an envelope addressed to:

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

On February 5th, 2004


Tracy M Heims
Reg.# 53,010

Feb. 5th, 2004
Date:

Apex Juris, PLLC
13194 Edgewater Lane Northeast
Seattle, Washington 98125
Email: tracy@apexjuris.com
Phone: 206-664-0314
Fax: 206-664-0329